

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Shunichi Sekiguchi et al.

Docket No.

15689.76

Serial No.

09/935,889

Filing Date

August 23, 2001

Examiner

Group Art Unit

2152

Invention: INFORMATION DELIVERY SYSTEM AND INFORMATION DELIVERY METHOD

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January 21, 2004

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ADRIAN J. LEE

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Transmittal for Information Disclosure Statement (2 pages; duplicate);
Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages);
Form PTO-1449 Listing of References (1 page);
Legible Copy of References; (8 references)
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15689.76

In Re Application Of: **Shunichi Sekiguchi et al.**

Serial No.
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Title: **INFORMATION DELIVERY SYSTEM AND INFORMATION DELIVERY METHOD**

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Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Technology Center 2100

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15689.76

In Re Application: Shunichi Sekiguchi et al.

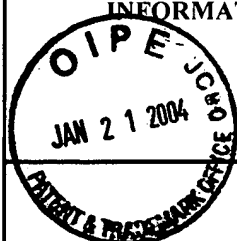
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INFORMATION DELIVERY SYSTEM AND INFORMATION DELIVERY METHOD



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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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- ☐ Charge the amount of _____
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Dated: January 21, 2004

ADRIAN J. LEE

Attorney for Applicant

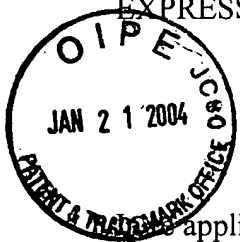
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EXPRESS MAIL NO. EV 382942267 US

PATENT APPLICATION
Docket No: 15689.76

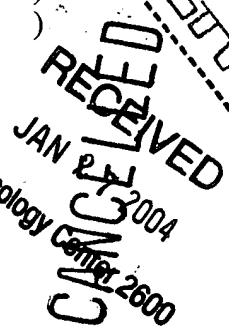
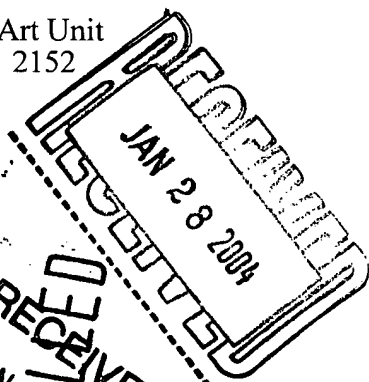
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Technology Center 2100

Art Unit
2152



application of

Shunichi SEKIGUCHI et al.

Serial No.: 09/935,889

Filing Date: August 23, 2001

For: INFORMATION DELIVERY SYSTEM AND
INFORMATION DELIVERY METHOD

Customer No.: 022913

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

DATED January 21, 2004.

Respectfully submitted,



ADRIAN J. LEE
Attorney for Applicant
Registration No. 42,785
Customer No. 022913

AJL: ds
DS0000001628V001

